

☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Windeatt Sean A					BGC Group, Inc. [ BGC ]							Director		100/	Owner	
(Last)	(First)	(Mi	ddle)	3.	3. Date of Earliest Transaction (MM/DD/YYYY)						X_ Officer (give title below) Other (specify below)					
C/O BGC GROUP, INC., 499 PARK AVENUE					7/1/2023							Chief Operat	ting Offic	er		
(Street)				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)						6. Individual	6. Individual or Joint/Group Filing (Check Applicable Line)				
NEW YORK, NY 10022													X _ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(2.17)				Ru	Rule 10b5-1(c) Transaction Indication											
					☐ Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan											
				tha	it is ii	ntende	d to satisfy	the	affirmati	ve defe	ense co	nditions of Rule	10b5-1(c)	. See Instruc	ction 10.	
			Table I	- Non-Dei	rivati	ive Sec	urities Ac	quir	ed, Dispo	osed of	f, or B	eneficially Owne	ed			
1. Title of Security (Instr. 3)  2. Trans. D					2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securities Acquired (A) of Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		6. 7. Nature Ownership Form: Beneficial Direct (D) Ownership			
							Code	V	Amount	(A) or (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common St	ock, par value	\$0.01 per sh	are	7/1/2023			A		1,328,986	A	<u>(1)(2)(3</u>	).		1,328,986	D	
	Tab	le II - Der	rivative S	Securities	Bene	eficially	Owned (	e.g.,	, puts, ca	lls, wa	rrants	, options, conve	rtible secu	ırities)		
1. Title of Derivate Security (Instr. 3)	curity Conversion Date Execution (Ins		(Instr. 8)		ber of ive Securities d (A) or d of (D) , 4 and 5)	6. Date Expiration Date  Date Expiration Exercisable Date			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)  Title Amount or Number of Shares		8. Price of Derivative Security (Instr. 5)  8. Price of Derivative Securities Securities Beneficial Owned Following Reported Transactio (Instr. 4)		10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr.	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

#### **Explanation of Responses:**

- (1) On July 1, 2023, BGC Partners, Inc. ("BGC Partners"), along with certain other affiliated entities, completed its corporate conversion (the "Corporate Conversion") pursuant to the Corporate Conversion Agreement, dated as of November 15, 2022, as amended as of March 29, 2023. Upon completion of the Corporate Conversion, BGC Partners became a wholly owned subsidiary of its new public holding company, BGC Group, Inc. ("BGC Group"), and each non-exchangeable unit of BGC Holdings, L.P. outstanding as of immediately prior to the Corporate Conversion was converted into the right to receive an equity award denominated in cash and/or equity of BGC Group in a transaction exempt pursuant to Rule 16b-3 under the Securities Exchange Act of 1934, as amended. The transactions reported herein were approved by the Board of Directors of BGC Group and the Compensation Committee thereof.
- (2) Consists of restricted stock awards ("RSAs") granted pursuant to the BGC Group, Inc. Long Term Incentive Plan, which represent a contingent right to receive one share of Class A common stock, par value \$0.01 per share, of BGC Group ("Class A Common Stock"), for each RSA. Includes (i) 239,990 RSAs that will vest on April 1, 2025 provided that the reporting person remains employed through such vesting date, and subject to other obligations as set forth in the applicable award agreement; (ii) 158,449 RSAs that will vest on April 1, 2024, provided that the reporting person remains employed through such vesting date, and subject to other obligations as set forth in the applicable award agreement; (iii) 673,570 RSUs that will vest on July 1, 2033, provided that the reporting person remains employed through such vesting date;
- (3) (Continued from footnote 2) and (iv) 256,977 RSUs that will vest on July 1, 2033, provided that the reporting person remains employed through such vesting date, and contingent upon BGC Group generating at least \$5 million in revenue for the quarter in which the vesting occurs.

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Windeatt Sean A C/O BGC GROUP, INC. 499 PARK AVENUE NEW YORK, NY 10022			Chief Operating Officer				

## Signatures

/s/ Sean A. Windeatt	7/3/2023
** Signature of Paparting Parson	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.